



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Stephen W. Farnsworth, et al. Art Unit : 2653  
Serial No. : 09/591,930 Examiner : Kimlien T. Le  
Filed : June 12, 2000  
Title : LENSLESS OPTICAL SERVO SYSTEM FOR AN OPTICALLY ASSISTED  
DISK DRIVE

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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Applicant submits the references listed on the attached form PTO-1449.

This statement is being filed after a first Office action on the merits, but before receipt of a final Office action or a Notice of Allowance. A check including the amount of \$180 in payment of the late submission fee of §1.17(p) is enclosed. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 2/24/2004



Ferenc Pazmandi  
Limited Recognition under 37 CFR §10.9(b)

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Substitute Form PTO-1449  
(Modified)U.S. Department of Commerce  
Patent and Trademark OfficeAttorney's Docket No.  
12754-129001Application No.  
09/591,930**Information Disclosure Statement  
by Applicant**

(Use several sheets if necessary)

(37 CFR 1.98(b))

Applicant  
Stephen W. Farnsworth, et al.Filing Date  
June 12, 2000Group Art Unit  
2653**U.S. Patent Documents**

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	4,718,052	01/05/1988	Kondo et al.			
	AB	5,886,971	03/23/1999	Feldman et al.			
	AC	5,949,066	09/07/1999	Rice et al.			
	AD	6,262,414	07/17/2001	Mitsubishi			

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**Foreign Patent Documents or Published Foreign Patent Applications**

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AE							
	AF							
	AG							
	AH							
	AI							

**Other Documents (include Author, Title, Date, and Place of Publication)**

Examiner Initial	Desig. ID	Document
	AJ	Farnsworth et al., "Monolithic Optical Pickup and an Assembly Including the Pickup with Laser Source(s) and Optical Detector(s), U.S. application serial no. 09/569,096, filed May 10, 2000
	AK	
	AL	
	AM	

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Date Considered

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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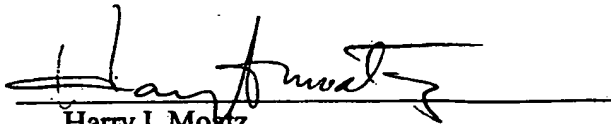
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**Expires: November 10, 2004**

  
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